

Fill in this information to identify your case:

United States Bankruptcy Court for the:

EASTERN DISTRICT OF VIRGINIA - ALEXANDRIA DIVISION

Case number (if known) Chapter 11

☐ Check if this an amended filing

Official Form 201

Voluntary Petition for Non-Individuals Filing for Bankruptcy

04/20

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and the case number (if known). For more information, a separate document, *Instructions for Bankruptcy Forms for Non-Individuals*, is available.

1. Debtor's name Savi Technology, Inc.

2. All other names debtor used in the last 8 years

Include any assumed names, trade names and doing business as names

3. Debtor's federal Employer Identification Number (EIN) 46-1746965

4. Debtor's address

Principal place of business

Mailing address, if different from principal place of business

5285 Shawnee Road, Suite 210
Alexandria, VA 22312

Number, Street, City, State & ZIP Code

P.O. Box, Number, Street, City, State & ZIP Code

Alexandria City

County

Location of principal assets, if different from principal place of business

Number, Street, City, State & ZIP Code

5. Debtor's website (URL) www.savi.com

6. Type of debtor

☒ Corporation (including Limited Liability Company (LLC) and Limited Liability Partnership (LLP))☐ Partnership (excluding LLP)☐ Other. Specify:

Debtor **Savi Technology, Inc.**
Name

Case number (if known)

7. Describe debtor's business**A. Check one:**

- ☐ Health Care Business (as defined in 11 U.S.C. § 101(27A))
- ☐ Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B))
- ☐ Railroad (as defined in 11 U.S.C. § 101(44))
- ☐ Stockbroker (as defined in 11 U.S.C. § 101(53A))
- ☐ Commodity Broker (as defined in 11 U.S.C. § 101(6))
- ☐ Clearing Bank (as defined in 11 U.S.C. § 781(3))
- ☒ None of the above

B. Check all that apply

- ☐ Tax-exempt entity (as described in 26 U.S.C. §501)
- ☐ Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. §80a-3)
- ☐ Investment advisor (as defined in 15 U.S.C. §80b-2(a)(11))

C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor.
See <http://www.uscourts.gov/four-digit-national-association-naics-codes>.

5415**8. Under which chapter of the Bankruptcy Code is the debtor filing?****Check one:**

- ☐ Chapter 7
- ☐ Chapter 9

☒ Chapter 11. **Check all that apply:**

A debtor who is a "small business debtor" must check the first sub-box. A debtor as defined in § 1182(1) who elects to proceed under subchapter V of chapter 11 (whether or not the debtor is a "small business debtor") must check the second sub-box.

- ☐ The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D), and its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,725,625. If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- ☐ The debtor is a debtor as defined in 11 U.S.C. § 1182(1), its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$7,500,000, **and it chooses to proceed under Subchapter V of Chapter 11.** If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return, or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- ☐ A plan is being filed with this petition.
- ☐ Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).
- ☐ The debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the *Attachment to Voluntary Petition for Non-Individuals Filing for Bankruptcy under Chapter 11* (Official Form 201A) with this form.
- ☐ The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2.

☐ Chapter 12

9. Were prior bankruptcy cases filed by or against the debtor within the last 8 years?

- ☒ No.
- ☐ Yes.

If more than 2 cases, attach a separate list.

District	_____	When	_____	Case number	_____
District	_____	When	_____	Case number	_____

Debtor **Savi Technology, Inc.**
Name

Case number (if known)

10. Are any bankruptcy cases pending or being filed by a business partner or an affiliate of the debtor?
- ☒ No
☐ Yes.

List all cases. If more than 1, attach a separate list

Debtor Relationship
District When Case number, if known

11. Why is the case filed in this district?
- Check all that apply:
- ☒ Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other district.
- ☐ A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district.

12. Does the debtor own or have possession of any real property or personal property that needs immediate attention?
- ☒ No
☐ Yes. Answer below for each property that needs immediate attention. Attach additional sheets if needed.
- Why does the property need immediate attention?** (Check all that apply.)

☐ It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety.

What is the hazard?

☐ It needs to be physically secured or protected from the weather.

☐ It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options).

☐ Other

Where is the property?

Number, Street, City, State & ZIP Code

Is the property insured?

☐ No

☐ Yes. Insurance agency

Contact name

Phone

Statistical and administrative information

13. Debtor's estimation of available funds
- Check one:
- ☒ Funds will be available for distribution to unsecured creditors.
- ☐ After any administrative expenses are paid, no funds will be available to unsecured creditors.

14. Estimated number of creditors
- | | | |
|---|--|--|
| <input type="checkbox"/> 1-49 | <input type="checkbox"/> 1,000-5,000 | <input type="checkbox"/> 25,001-50,000 |
| <input checked="" type="checkbox"/> 50-99 | <input type="checkbox"/> 5001-10,000 | <input type="checkbox"/> 50,001-100,000 |
| <input type="checkbox"/> 100-199 | <input type="checkbox"/> 10,001-25,000 | <input type="checkbox"/> More than 100,000 |
| <input type="checkbox"/> 200-999 | | |

15. Estimated Assets
- | | | |
|--|--|--|
| <input type="checkbox"/> \$0 - \$50,000 | <input checked="" type="checkbox"/> \$1,000,001 - \$10 million | <input type="checkbox"/> \$500,000,001 - \$1 billion |
| <input type="checkbox"/> \$50,001 - \$100,000 | <input type="checkbox"/> \$10,000,001 - \$50 million | <input type="checkbox"/> \$1,000,000,001 - \$10 billion |
| <input type="checkbox"/> \$100,001 - \$500,000 | <input type="checkbox"/> \$50,000,001 - \$100 million | <input type="checkbox"/> \$10,000,000,001 - \$50 billion |
| <input type="checkbox"/> \$500,001 - \$1 million | <input type="checkbox"/> \$100,000,001 - \$500 million | <input type="checkbox"/> More than \$50 billion |

16. Estimated liabilities
- | | | |
|---|---|--|
| <input type="checkbox"/> \$0 - \$50,000 | <input type="checkbox"/> \$1,000,001 - \$10 million | <input type="checkbox"/> \$500,000,001 - \$1 billion |
|---|---|--|

Debtor

Savi Technology, Inc.

Case number (if known)

Name

☐ \$50,001 - \$100,000☐ \$100,001 - \$500,000☐ \$500,001 - \$1 million☒ \$10,000,001 - \$50 million☐ \$50,000,001 - \$100 million☐ \$100,000,001 - \$500 million☐ \$1,000,000,001 - \$10 billion☐ \$10,000,000,001 - \$50 billion☐ More than \$50 billion

Debtor **Savi Technology, Inc.**
Name

Case number (if known)

Request for Relief, Declaration, and Signatures

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

**17. Declaration and signature
of authorized
representative of debtor**

The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.

I have been authorized to file this petition on behalf of the debtor.

I have examined the information in this petition and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on **August 4, 2021**
MM / DD / YYYY**X /s/ Rosemary Johnston**

Signature of authorized representative of debtor

Rosemary Johnston

Printed name

Title **Acting President and CEO****18. Signature of attorney****X /s/ Benjamin P. Smith VSB No.**

Signature of attorney for debtor

Date **August 4, 2021**

MM / DD / YYYY

Benjamin P. Smith VSB No. 90430

Printed name

Shulman, Rogers, Gandal, Pordy & Ecker, P.A.

Firm name

**12505 Park Potomac Avenue, Sixth Floor
Potomac, MD 20854**

Number, Street, City, State & ZIP Code

Contact phone **301-230-5241**Email address **bsmith@shulmanrogers.com****VSb No. 90430 VA**

Bar number and State

Fill in this information to identify the case:

Debtor name Savi Technology, Inc.

United States Bankruptcy Court for the: EASTERN DISTRICT OF VIRGINIA - ALEXANDRIA DIVISION

Case number (if known) _____

☐ Check if this is an amended filing

Official Form 202

Declaration Under Penalty of Perjury for Non-Individual Debtors

12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

Declaration and signature

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

- ☐ *Schedule A/B: Assets—Real and Personal Property* (Official Form 206A/B)
- ☐ *Schedule D: Creditors Who Have Claims Secured by Property* (Official Form 206D)
- ☐ *Schedule E/F: Creditors Who Have Unsecured Claims* (Official Form 206E/F)
- ☐ *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G)
- ☐ *Schedule H: Codebtors* (Official Form 206H)
- ☐ *Summary of Assets and Liabilities for Non-Individuals* (Official Form 206Sum)
- ☐ *Amended Schedule*
- ☒ *Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders* (Official Form 204)
- ☐ Other document that requires a declaration _____

I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 4, 2021

X /s/ Rosemary Johnston

Signature of individual signing on behalf of debtor

Rosemary Johnston

Printed name

Acting President and CEO

Position or relationship to debtor

Fill in this information to identify the case:Debtor name **Savi Technology, Inc.**United States Bankruptcy Court for the: **EASTERN DISTRICT OF VIRGINIA - ALEXANDRIA DIVISION**

Case number (if known): _____

☐ Check if this is an amended filing**Official Form 204****Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders****12/15**

A list of creditors holding the 20 largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an insider, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the 20 largest unsecured claims.

Name of creditor and complete mailing address, including zip code	Name, telephone number and email address of creditor contact	Nature of claim (for example, trade debts, bank loans, professional services, and government contracts)	Indicate if claim is contingent, unliquidated, or disputed	Amount of claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
Amazon Web Services, Inc. P.O. Box 84023 Seattle, WA 98124-8423		Services				\$52,966.93
BDO USA LLP P.O. Box 642743 Pittsburgh, PA 15264-2743		Services				\$22,260.00
Benjamin Harris 11449 Rothbury Square Fairfax, VA 22030		Accrued Vacation as of 7/31/21				\$18,718.84
Cedar Creek Consulting LLC 8619 Westover Drive Prospect, KY 40059		Services				\$10,920.00
City of Alexandria Attn: Treasury Division P.O. Box 34901 Alexandria, VA 22334		Tax Agency				\$14,269.91
Cooley LLP 101 California Street, 5th Fl San Francisco, CA 94111		Legal Services				\$323,633.11
Elecsys Mfg Corp PEZA, Lot 1B, Block 6, Phase II Rosario Cavite 4106 PHILIPPINES		Contract Manufacturer				\$219,952.33
First Source Electronics, LLC 6650 Business Parkway Elkridge, MD 21075		Supplier				\$63,462.24

Debtor **Savi Technology, Inc.**
Name

Case number (if known)

Name of creditor and complete mailing address, including zip code	Name, telephone number and email address of creditor contact	Nature of claim (for example, trade debts, bank loans, professional services,	Indicate if claim is contingent, unliquidated, or disputed	Amount of claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
J. Richard Carlson 13882 Lewis Mill Way Chantilly, VA 20151		Accrued Vacation as of 7/31/21				\$12,910.75
Jay D. Stamm 980 Kirksville Road Richmond, KY 40475		Accrued Vacation as of 7/31/21				\$12,551.30
LB&B Associates 9891 Broken Land Parkway Suite 400 Columbia, MD 21046		Facilities				\$14,222.62
Oracle America Inc. 500 Oracle Parkway Redwood City, CA 94065		Services				\$27,244.00
ORBCOMM 395 West Passaic Street, #325 Rochelle Park, NJ 07662		Vendor				\$12,440.00
QBURST Technology Inc 4414 Roundtree Lane Missouri City, TX 77459		Services				\$15,360.00
Rosemary T. Johnston 940 Chesapeake Place Greenville, NC 27858		Accrued Vacation as of 7/31/21				\$19,384.30
Silicon Valley Bank Mastercard P.O. Box 660254 Dallas, TX 75266		Credit Card				\$87,333.01
T.Y. Lin International 345 California Street Suite 2300 San Francisco, CA 94104		Services				\$11,080.00
Weiner Brodsky Kider PC 1300 19th Street, NW, 5th Fl Washington, DC 20036		Legal Services				\$79,517.82

Debtor **Savi Technology, Inc.**
Name

Case number (if known)

Name of creditor and complete mailing address, including zip code	Name, telephone number and email address of creditor contact	Nature of claim (for example, trade debts, bank loans, professional services,	Indicate if claim is contingent, unliquidated, or disputed	Amount of claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
Wrobel Markham LLP 360 Lexington Ave, Suite 1502 New York, NY 10017		Legal Services				\$96,005.70
Wyntron Inc. f/k/a DKP MFG Inc PEZA, Lot 9 Block 15 Phase III Rosario, Cavite 4106 PHILIPPINES		Master Supply Agreement	Contingent Disputed			\$1,383,042.59

United States Bankruptcy Court
Eastern District of Virginia - Alexandria Division

In re Savi Technology, Inc.

Debtor(s)

Case No.

Chapter

11

DISCLOSURE OF COMPENSATION OF ATTORNEY FOR DEBTOR

1. Pursuant to 11 U.S.C. § 329(a) and Bankruptcy Rule 2016(b), I certify that I am the attorney for the above-named debtor(s) and that compensation paid to me, for services rendered or to be rendered on behalf of the debtor(s) in contemplation of or in connection with the bankruptcy case is as follows:

For legal services, I have agreed to accept and received a retainer of _____ \$ 100,000.00

The undersigned shall bill against the retainer at an hourly rate of _____ \$ 0.00

[Or attach firm hourly rate schedule.] Debtor(s) have agreed to pay all Court approved fees and expenses exceeding the amount of the retainer.

2. \$ 1,738.00 of the filing fee has been paid.
3. The source of the compensation paid to me was:
☒ Debtor ☐ Other (*specify*)
4. The source of compensation to be paid to me is:
☒ Debtor ☐ Other (*specify*)
5. ☒ I have not agreed to share the above-disclosed compensation with any other person unless they are members and associates of my law firm.
☐ I have agreed to share the above-disclosed compensation with a person or persons who are not members or associates of my law firm. A copy of the agreement, together with a list of the names of the people sharing in the compensation, is attached.
6. In return for the above-disclosed fee, I have agreed to render legal service for all aspects of the bankruptcy case, including:
a. Analysis of the debtor's financial situation, and rendering advice to the debtor in determining whether to file a petition in bankruptcy;
b. Preparation and filing of any petition, schedules, statement of affairs and plan which may be required;
c. Representation of the debtor at the meeting of creditors and confirmation hearing, and any adjourned hearings thereof;
d. Other provisions as needed:
7. By agreement with the debtor(s), the above-disclosed fee does not include the following services:
Representation of the debtor(s) in any actions to deny or revoke discharge, non-dischargeability actions, judicial lien avoidances, relief from stay actions or any other adversary proceeding.

CERTIFICATION

I certify that the foregoing is a complete statement of any agreement or arrangement for payment to me for representation of the debtor(s) in this bankruptcy proceeding.

August 4, 2021

Date

/s/ Benjamin P. Smith VSB No.

Benjamin P. Smith VSB No. 90430

Signature of Attorney

Shulman, Rogers, Gandal, Pordy & Ecker, P.A.

Name of Law Firm

12505 Park Potomac Avenue, Sixth Floor

Potomac, MD 20854

301-230-5241 Fax: 301-230-2891

For use in Chapter 13 Cases where Fees Requested Not in Excess of \$5,488

(For all Cases Filed on or after 01/01/2021)

NOTICE TO DEBTOR(S), STANDING CHAPTER 13 TRUSTEE AND UNITED STATES TRUSTEE

PURSUANT TO LOCAL BANKRUPTCY RULE 2016-1(C) AND CLERK'S CM/ECF POLICY 9

Notice is hereby given that pursuant to Local Bankruptcy Rule 2016-1(C), you must file an objection with the court to the fees requested in this disclosure of compensation opposing said fees in their entirety, or in a specific amount, no later than the last day for filing objections to confirmation of the chapter 13 plan.

PROOF OF SERVICE

The undersigned hereby certifies that on this date the foregoing Notice was served upon the debtor(s), the standing Chapter 13 trustee, and U. S. trustee pursuant to Local Bankruptcy Rule 2016-1(C) and the Clerk's CM/ECF Policy 9, either electronically or in paper form (first class mail).

Date

Signature of Attorney

United States Bankruptcy Court
Eastern District of Virginia - Alexandria Division

In re **Savi Technology, Inc.**

Debtor(s)

Case No.
Chapter**11**

LIST OF EQUITY SECURITY HOLDERS

Following is the list of the Debtor's equity security holders which is prepared in accordance with rule 1007(a)(3) for filing in this Chapter 11 Case

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Benjamin Harris 11449 Rothbury Square Fairfax, VA 22030	Options	13,600	0.32%
Benjamin Harris 11449 Rothbury Square Fairfax, VA 22030	Options	11,400	0.27%
Benjamin Harris 11449 Rothbury Square Fairfax, VA 22030	Options	25,000	0.60%
Brian Daum 2353 Tumbletree Way Reston, VA 20191	Shares	102,000	2.43%
Charles Meyer 8730 W. Sunset Blvd. Suite 420 West Hollywood, CA 90069	Shares	1,125,000	26.79%
Charles Meyer 8730 W. Sunset Blvd. Suite 420 West Hollywood, CA 90069	Promissory Note Warrants	10,436	0.25%
Dana Frix 6649 Sorrel Street Mc Lean, VA 22101	Promissory Note Warrants	2,782	.07%
Dana Frix Trust c/o Dana Frix 6649 Sorrel Street Mc Lean, VA 22101	Shares	300,000	7.14%
Dennis P. Shanahan 1501 Radian Road Wilmington, NC 28405	Promissory Note Warrants	5,480	0.14%
Dennis P. Shanahan Rev Trust c/o Dennis P. Shanahan Trustee 1501 Radian Road Wilmington, NC 28405	Shares	750,000	17.86%
Eastward Capital Partners 432 Cherry Street West Newton, MA 02465	Promissory Note Warrants	41,860	1.0%

In re: **Savi Technology, Inc.**

Case No. _____

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Ed De Bruijn Jan Steenlan 38 Oosterhout 4907 RJ NETHERLANDS	Options	5,000	0.119%
J. Richard Carlson 13882 Lewis Mill Way Chantilly, VA 20151	Shares	20,661	0.49%
J. Richard Carlson 13882 Lewis Mill Way Chantilly, VA 20151	Options	128,300	3.1%
J. Richard Carlson 13882 Lewis Mill Way Chantilly, VA 20151	Options	128,300	3.1%
J. Richard Carlson 13882 Lewis Mill Way Chantilly, VA 20151	Promissory Note Warrants	2,240	0.05%
James Hayden 1870 Hunter Mill Road Vienna, VA 22182	Options	26,600	0.60%
James Hayden 1870 Hunter Mill Road Vienna, VA 22182	Options	7,400	0.60%
James Hayden 1870 Hunter Mill Road Vienna, VA 22182	Options	34,000	0.60%
Jay D. Stamm 980 Kirksville Rd Richmond, KY 40475	Options	7,500	0.18%
Jeannette Recio 23305 Milltown Knoll Sq #103 Ashburn, VA 20148	Options	3,500	0.08%
Jennifer L. Sarles 7800 Tayloe Dr TRLR 16 Manassas, VA 20112	Options	3,500	0.08%
Jordon N. Kendrick 3921 W. Bluffs Road Springfield, IL 62711	Options	5,000	0.119%

List of equity security holders consists of 4 total page(s)

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Best Case Bankruptcy

In re: **Savi Technology, Inc.**

Case No. _____

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Matthew Beyea 6629 Gordon Avenue Falls Church, VA 22046	Options	5,000	0.119%
Michael Souders 3536 Valeview Drive Oakton, VA 22124	Shares	51,000	1.21%
Rosemary Johnston 940 Chesapeake Place Greenville, NC 27858	Options	13,600	0.32%
Rosemary Johnston 940 Chesapeake Place Greenville, NC 27858	Options	20,400	0.49%
Rosemary Johnston 940 Cheapeake Place Greenville, NC 27858	Options	34,000	0.81%
Savi Investors, LLC c/o Sean McGuinness 3124 Little Heron Lane Marshall, VA 20115	Shares	825,000	19.65%
Scott Shaul 7824 Southdown Road Alexandria, VA 22308	Shares	68,000	1.62%
Sean P. McGuinness P.O. Box 312 Rectortown, VA 20140	Promissory Note Warrants	7,654	0.18%
SGS Group Management SA 1 Place des Alpes 1201 Geneva SWITZERLAND	Promissory Note Warrants	28,202	0.67%
William Clark 9904 Blackmore Vale Way Great Falls, VA 22066	Shares	136,000	3.24%

In re: **Savi Technology, Inc.**

Debtor(s)

Case No. _____

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
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DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I, the **Acting President and CEO** of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

Date **August 4, 2021**

Signature **/s/ Rosemary Johnston**
Rosemary Johnston

Penalty for making a false statement of concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both.
18 U.S.C. §§ 152 and 3571.

Office of the U.S. Trustee
115 S. Union St., Plaza Level
Suite 210
Alexandria, VA 22314

Amazon Web Services, Inc.
P.O. Box 84023
Seattle, WA 98124-8423

American Express
P.O Box 1270
Newark, NJ 07101-1270

Andrews, Jason L.
4504 Walnut Creek Drive
Lexington, KY 40509

Army Contracting Command
c/o Ben Geringer
3055 Rodman Avenue
Rock Island, IL 61299-8000

AT&T Mobility
P.O. Box 6463
Carol Stream, IL 60197-6463

BDO USA LLP
P.O. Box 642743
Pittsburgh, PA 15264-2743

Benjamin Harris
11449 Rothbury Square
Fairfax, VA 22030

Beyea, Matthew
6629 Gordon Avenue
Falls Church, VA 22046

Boon Christiaan Geurtsweg
1 7335 JV Apeldoorn
NETHERLANDS

Brian Daum
2353 Tumbletree Way
Reston, VA 20191

Brit-Poplar Run, LLC
c/o BECO Management, Inc.
5410 Edson Lane, #200
Rockville, MD 20852

Cassandra Jean Poulos
2055 Powells Landing
Woodbridge, VA 22191

CDW
P.O. Box 75723
Barstow, IL 61236

Cedar Creek Consulting LLC
8619 Westover Drive
Prospect, KY 40059

Charles Meyer
8730 W. Sunset Blvd.
Suite 420
West Hollywood, CA 90069

CIGNA Group Insurance
P.O. Box 13701
Philadelphia, PA 19101-3701

City of Alexandria
Attn: Treasury Division
P.O. Box 34901
Alexandria, VA 22334

Cooley LLP
101 California Street, 5th Fl
San Francisco, CA 94111

Dana Frix
6649 Sorrel Avenue
Mc Lean, VA 22101

Dana Frix
6649 Sorrel Street
Mc Lean, VA 22101

Dana Frix Trust
c/o Dana Frix
6649 Sorrel Street
Mc Lean, VA 22101

Danielle Amaro
7911 N 16th Drive
Phoenix, AZ 85021

Dennis P. Shanahan
1501 Radian Road
Wilmington, NC 28405

Dennis P. Shanahan Rev Trust
c/o Dennis P. Shanahan Trustee
1501 Radian Road
Wilmington, NC 28405

Dennis Shanahan
1501 Radian Road
Wilmington, NC 28405

DHL Express - USA
1659 Collections Center Drive
Chicago, IL 60693

Douglas C. Schreffler
7616 Devries Drive
Lorton, VA 22079

DRS Network & Imaging Systems
c/o David Stolz
100 North Babcock Street
Melbourne, FL 32935

Eastward Capital Partners
432 Cherry Street
West Newton, MA 02465

Eastward Fund Management, LLC
c/o Ian Daigle
432 Cherry Street
West Newton, MA 02465

Ed De Bruijn
Jan Steenlan 38
Oosterhout 4907 RJ
NETHERLANDS

Ed DeBruijn
Jan Steenlan 38
Oosterhout, 4907 RJ
NETHERLANDS

Edward E. How
43859 Kittiwake Drive
Leesburg, VA 20176

Elecsys Mfg Corp
PEZA, Lot 1B, Block 6, Phase II
Rosario Cavite 4106
PHILIPPINES

Eseye Ltd
20 Nugent Rd. Surrey Research
Guildford Surrey GU2 7AF
UNITED KINGDOM

Federal Express Corporation
P.O. Box 7221
Pasadena, CA 91109-7321

First Source Electronics, LLC
6650 Business Parkway
Elkridge, MD 21075

Guardian Life Insurance Co
P.O. Box 14319
Lexington, KY 40512

IP Law Leaders PLLC
6701 Democracy Blvd, Suite 555
Bethesda, MD 20817

J. Richard Carlson
13882 Lewis Mill Way
Chantilly, VA 20151

James Hayden
1870 Hunter Mill Road
Vienna, VA 22182

Jay D. Stamm
980 Kirksville Road
Richmond, KY 40475

Jay D. Stamm
980 Kirksville Rd
Richmond, KY 40475

Jeannette Recio
23305 Milltown Knoll Square
Ashburn, VA 20148

Jeannette Recio
23305 Milltown Knoll Sq #103
Ashburn, VA 20148

Jennifer L. Sarles
7800 Tayloe Drive TRLR 16
Manassas, VA 20112

Jennifer L. Sarles
7800 Tayloe Dr TRLR 16
Manassas, VA 20112

Jordan N. Kendrick
3921 W. Bluffs Road
Springfield, IL 62711

Jordon N. Kendrick
3921 W. Bluffs Road
Springfield, IL 62711

Joshua Parman
1048 Lemon Rue Way
Lexington, KY 40515

Kathryn E. Bonorchis
Lewis Brisbois Bisgaard Smith
100 Light Street
Baltimore, MD 21202

LB&B Associates
9891 Broken Land Parkway
Suite 400
Columbia, MD 21046

Matthew Beyea
6629 Gordon Avenue
Falls Church, VA 22046

Matthew McBride
40 S. Van Dorn Street
Apt. D111
Alexandria, VA 22304

Metropolitan Life Insurance Co
P.O. Box 804466
Kansas City, MO 64180

Michael Souders
3536 Valeview Drive
Oakton, VA 22124

O2 Collaborative Inc.
d/b/a O2 Lab
1401 Church Street, NW, #119
Washington, DC 20005

Omar Ghorafi
Vijverhof 9, Hendrik Ido Ambac
Zuid-Holland 3341 TS
NETHERLANDS

Optumhealth Financial Services
2771 Momentum Place
Chicago, IL 60689-5327

Oracle America Inc.
500 Oracle Parkway
Redwood City, CA 94065

ORBCOMM
395 West Passaic Street, #325
Rochelle Park, NJ 07662

Paul C. Kuhnel
Lewis Brisbois
310 First Street, Suite 405
Roanoke, VA 24011

QBURST Technology Inc
4414 Roundtree Lane
Missouri City, TX 77459

Ring Central Inc.
20 Davis Drive
Belmont, CA 94002

Ronald Souers
6060 Marion Pointe Court
Belews Creek, NC 27009

Rosemary Johnston
940 Chesapeake Place
Greenville, NC 27858

Rosemary Johnston
940 Cheapeake Place
Greenville, NC 27858

Rosemary T. Johnston
940 Chesapeake Place
Greenville, NC 27858

Safeguard Shredding
Attn: Accounts Receivable
P.O. Box 3219
Oakton, VA 22124-3219

Savi Investors, LLC
c/o Sean McGuinness
3124 Little Heron Lane
Marshall, VA 20115

Scott Shaul
7824 Southdown Road
Alexandria, VA 22308

Sean P. McGuinness
3124 Little Heron Lane
Marshall, VA 20115

Sean P. McGuinness
P.O. Box 312
Rectorstown, VA 20140

Sendum Wireless Corporation
4500 Beedie Street
Burnaby B.C. V5J 5L2
CANADA

SGS Group Management SA
1 Place des Alpes
1201 Geneva
SWITZERLAND

SGS Group Management, SA
1 Place des Alpes, 1201 Geneva
SWITZERLAND

SGS Management Group
1 Place des Alpes
P.O. Box 2152 Geneva 1 1211
SWITZERLAND

Silicon Valley Bank Mastercard
P.O. Box 660254
Dallas, TX 75266

Small Business Administration
Office of Disaster Assistance
14925 Kingsport Road
Fort Worth, TX 76155

Staples
P.O. Box 105638
Atlanta, GA 30348-5638

State of New Jersey-CBT
Attn: Revenue Processing Ctr
P.O. Box 666
Trenton, NJ 08646-0666

T.Y. Lin International
345 California Street
Suite 2300
San Francisco, CA 94104

Tableau Software, Inc.
1621 N. 34th Street
Seattle, WA 98103

TEK360 Consulting
4821 Colbrook Court
Bumbay B.C. V5G 3Y3
CANADA

Verizon
P.O. Box 15124
Albany, NY 12212-5124

Vodafone
The Connection Newbury
Berkshire RG14 2FN
UNITED KINGDOM

Weiner Brodsy Kider PC
1300 19th Street, NW, 5th Fl
Washington, DC 20036

Wente Jing
517 Jurgensen Place
Hyattsville, MD 20785

William Clark
9904 Blackmore Vale Way
Great Falls, VA 22066

Wrobel Markham LLP
360 Lexington Ave, Suite 1502
New York, NY 10017

Wyntron Inc. f/k/a DKP MFG Inc
PEZA, Lot 9 Block 15 Phase III
Rosario, Cavite 4106
PHILIPPINES